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Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53		Other Enclosure(s) (please Identify below):
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The attached documents are exact copies of the European patent application conformes à la version described on the following initialement déposée de page, as originally filed.

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Patentanmeldung Nr.

Patent application No. Demande de brevet n°

03368002.6

Der Präsident des Europäischen Patentamts; Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets p.o.

R C van Dijk



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Anmelder/Applicant(s)/Demandeur(s):

INTERNATIONAL BUSINESS MACHINES CORPORATION

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Bezeichnung der Erfindung/Title of the invention/Titre de l'invention: (Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung. If no title is shown please refer to the description. Si aucun titre n'est indiqué se referer à la description.)

Water soluble protective paste for manufacturing printed circuit boards

In Anspruch genommene Prioriät(en) / Priority(ies) claimed /Priorité(s) revendiquée(s)
Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

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## WATER SOLUBLE PROTECTIVE PASTE FOR MANUFACTURING PRINTED CIRCUIT BOARDS

The present invention relates to a protective paste for the manufacturing of electronic modules with particular 5 attention to the manufacturing of Hybrid Multi Chip Modules.

Hybrid organic laminate chip package or Hybrid Multi Chip Module (HMCM) is more and more used in electronics due to its small dimensions and high performance. A hybrid package, as shown in Fig. 1 has a substrate 101 on which at least one 10 Surface Mount Technology (SMT) component 103 and at least one wire bonded chip 105 are mounted together.

SMT is a well known technique for electronic card assembly.

SMT usually consists of the following main steps:

- Screening of solder paste on the pads of the Printed Circuit Board (PCB). The paste screening is done by means of a stencil which is laid on the substrate and a squeegee (usual a rubber blade) which spread the solder paste on the required spots;
- Placement of components on the paste. This operation is performed with dedicated machines, having different speeds and precision according to the component dimension and weight.
- Reflow of the solder paste under air or nitrogen, depending on the paste flux. Very active fluxes, that protect
   25 the powder alloy from the oxidation, allow an air atmosphere

to be used. Normally, this reflow operation is performed at high temperature in the range 200°C - 240°C.

The usual wire bonded chip assembly process comprises substantially the following steps:

- 5 Die attaching of the chip to the substrate with thermal adhesive dispensing and curing (i.e. polymerisation). The curing process is usually performed at 150°C for 3-5 hours.
- First and second bonding of the two wire ends respectively to the aluminium pad on the chip (also known as 10 ball bonding operation) and to the gold pad on the substrate (also known as wedge bonding operation). This operation comprises connecting the wire and the pad with the application of a compression force and ultrasonic energy by a vibrating probe.
- The bonding operations cause the mutual diffusion of the two metals into each other (gold-gold and gold-aluminium). This diffusion is due to the movement of the surface layer atoms, and it depends strongly on the cleanliness of the surface. In fact the surface contamination of the gold and 20 aluminium pads (i.e. the presence of atoms not belonging to the metal lattice) behaves like a barrier against the atom movement, decreasing or totally preventing the wire bonding. For this reason the metal pads need to be completely clean for good bonding with the wires.
- In a HMCM, where both SMT and wire bonded chip attach processes must be performed, it is usual to do the wire bonded chip attach first, followed by the SMT. As shown in Fig 2, the presence of the wire bonded chip 105 on the substrate 101 when the SMT process starts, prevents the solder paste being dispensed by screening and an alternative paste dispensing method is needed. This is because the stencil cannot be

properly laid on the substrate and the squeegee cannot run on the stencil to dispense the paste on the pads 201. A known alternative dispensing method is the point by point dispensing by means of a syringe, which is a much more expensive process than the screening method. On the other hand the inversion of SMT and wire bonded chip attachment processes would cause problems due to the contamination of the gold pads during the SMT process. This contamination is mainly due to the following factors:

- 10 solder paste spreading during the solder paste screening;
  - solder splattering from SMT components during the solder paste reflow;
- organic and tin/lead vapours produced during the solder
  15 paste reflow and depositing on the substrate.

Solder splattering, in particular, is a thick and very dangerous contamination, that compromises the bondability. This unwanted contamination is very difficult to be removed and needs very expensive treatment, e.g. plasma 20 cleaning. Plasma cleaning is a powerful technique applied in several industrial fields, such as mechanics, electronics, .... Plasma, also called 'fourth state of matter', is produced by applying an energy field to a gas, causing its. The electron and the ions, accelerated by the ionization. 25 energetic field, achieve a kinetic energy that transferred to the surface of an object that has to be cleaned and, if it is higher than the cohesion force of the surface atoms of the object, the plasma is able to remove them. However it could happen that for cleaning off a hard organic 30 film (like flux residues of the solder paste) it needs to achieve a plasma kinetic energy so high that it overcomes the sputtering threshold of the other materials present on HMCM, so that they are damaged.

US Patent Application 09/666949 of the same Applicant discloses a protective paste for protecting metal circuits during the manufacture of electronic modules comprising a citrate salt dissolved in water and glycerol. The paste is 5 used in the manufacturing process, mitigating the problem of gold contamination during the SMT assembly operations. This result is obtained by protecting, at the first process step, the gold with a thin layer (0.01-0.04 millimetre) of a paste very soluble in water and washing it off. The advantages of 10 this paste are the absence of residues on the surface after washing and the reduced thickness, which facilitates the screening of the paste, compared to the protective pastes previously available.

The paste disclosed in US Patent Application 09/666949 is 15 a gel, which is suitable for screen printing, however its rheological properties could be improved. Rheology defines how materials flow and react under the stress of printing process. Rheology takes into consideration two fundamental components: viscosity, which refers to the material's resistance to flow, to its structure 20 and plasticity, which applies "stickiness". The term "structure" in rheology, is a term that refers to the formation of stable physical bonds between particles or chemical bonds between macromolecules in a fluid. These bonds result in aggregate, floc, or network structure 25 like in the case of a gel, which impacts the rheological fluid and provides elastic and plastic behavior of the properties.

In screen printing the ideal situation is for the material to have a high initial viscosity that drops quickly under the shear force of the squeegee during the print stroke. Materials that flow more readily when shear force is applied are considered psuedoplastic. The ideal material should recover a higher viscosity immediately after transferring to prevent flowout on the substrate and maintain image integrity.

The screen-printing operation at the end must deliver a repeatable results across the different parts and for the time required to process the needed quantities. The optimization of the process parameters and equipment setting is key to deliver a constant result out of the process.

Unfortunately the gel is a Non-Newtonian fluid (time dependent), that means that the viscosity of the fluid is dependent on temperature, shear rate and time with a thixotropic and shear thinning behaviors, or in other words the material flow or viscosity change with time and shear rate. The shear thinning terminology underlines that the viscosity of the material decreases with increased shear rate, while thixotropic indicates a time thinning behavior, i.e. viscosity decreases with time.

- 10 Thixotropy is a reversible time-dependent decrease in viscosity at a particular shear rate but shearing in gels causes a gradual breakdown in structure over time. This phenomenon (also known as rheomalaxis) is an irreversible decrease of viscosity during shearing due to permanent changes 15 in the material structure.
  - There is a time-dependence that includes those effects associated with transient flow conditions as well as those effects associated with irreversible changes that result from shear history or aging of the material (Gel) under usage.
- 20 The result is that the gel due to its rheological properties can be used for a limited numbers of strokes by the squeegee before being replaced. The operation to replace the material requires: 1) to stop the machine and consequently the production, 2) to manually collect the material from the top
- 25 surface of the stencil, 3) cleaning the top and bottom side of the stencil, 4) place the new material on top of the stencil, 5) run a dummy squeegee stroke to make the material rolling on the stencil surface to uniform its distribution, 6) restart production.
- 30 The rheological properties of the gel are driving a high level control of the process parameters due to the fact that a too fast movement of the squeegee will apply a too high level of shear strain that will determine an immediate breakdown of the gel that will liquefy as water spreading in an uncontrolled 35 way on the processed production part.

Uncontrolled exposure of the gel (uncured) on the screen-printing machine will allow the material to absorb moisture from the environment and the increase of water weight in the gel will modify the rheological properties lowering the 5 resistance to the shear stress making more critical utilization of optimized process parameters. Also the paste disclosed in US Patent Application 09/666949 causes some problems because of the screening process that is known to be a complex operation, and requires the right interaction of 10 several factors to be successful. Screen printing main factors can be listed as following:

- a) Stencil design. The stencil can be of metal or other materials, usually is made of a very thin brass or stainless steel foil, only 100 to 250 um of thickness. The stencil 15 presents a definite pattern of apertures made by etching or laser or other methodologies to make openings compatible with the stencil materials. The shape of the apertures and the size of the same are the result of an optimization study of the behavior of the material that need to be deposited by the 20 screening operation. Different materials may require different openings to achieve the same pattern and deposit characteristics. The same shape of the vertical wall of the stencil opening are fundamental in the way the material will detach from it once the squeegee has pushed the material in 25 the stencil openings. The main objective is to avoid the clog of the opening that will result in a missing deposit of material in the following operation/piece. There is a relation between the minimum size of the opening and the way the screened material detaches from the vertical walls of the 30 stencil openings.
  - b) The screen printer equipment: in latest technology development with smaller and smaller features it requires a vision system for self alignments of the large stencil over the part to process. They are expensive micro controlled

tools. They need to automatically control the 1) positioning of the stencil over the processing parts, 2) control the travel of the squeegee, 3) control the pressure of the squeegee over the stencil, 4) control the "snap-off" or distance 5 between the stencil and the processed part when the squeegee is at rest at the end of the printing travel.

All the above need to be experimentally tested and defined to create a processing set of instructions to process a defined product.

10 It is an object of the present invention to provide a technique which alleviates the above drawbacks.

According to the present invention, we provide a water soluble protective paste for protecting metal circuits during the manufacture of electronic modules comprising a salt, a glycerol and a densifier dissolved in water.

Also, according to the present invention we provide a method of selectively dispensing the water soluble protective paste described above by means of offset printing.

Furthermore, according to the present invention we 20 provide a method of protecting metal circuits and pads on the surface of an electronic board during manufacturing steps, comprising:

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- selectively dispensing over the metal circuits and pads the water soluble protective paste described above, by means 25 of offset printing;
  - drying the dispensed layer obtaining a solid protective film.

The above and other advantages of the present invention will be better understood with reference to the following 30 figures, where:

Figure 1 is a schematic representation of a Hybrid Multi Chip Module (HMCM);

Figure 2 is a schematic representation of a step of the HMCM manufacturing process when only the wire bonded chip is 5 attached.

Figure 3a-3d is a schematic representation of the offset printing process for dispensing the protective layer according to a preferred embodiment of the present invention.

The paste and method according to a preferred embodiment of the present invention mitigates the problem of the protective paste of the prior art. An additional advantage of the paste according to the present invention is that the protective layer can be deposited also by means of an offset 15 printing process, avoiding the use of the stencil and of the screening steps. Screening process is a labourious operation which requires very sophisticated equipment and a very high precision in the design of the stencil. Because of these requirements, screening is an expensive process. On the other 20 hand offset printing is a very simple, cheap and reliable method. In addition, the film forming properties allow the material to create a protective film even with a thin deposited film.

The main concern addressed by the present protective 25 method is to avoid gold or other noble surfaces contamination during the SMT assembly operations, achieving a high assembly process yield. This protection is realised by means of a protective layer which is water soluble and can be deposited on the metal circuits with an offset printing process. The 30 main difference of the present protective paste from the prior art paste disclosed in the above mentioned patent application is the presence of a densifier which gives a higher viscosity

to the material, allowing the use of the offset process instead of the screening operation. However the improved composition of the paste and its rehological properties provide some advantages also with the screening process used 5 in the prior art for depositing the protective layer.

The process for the manufacturing a HMCM according to a preferred embodiment of the present invention has the following steps:

- 10 protective paste deposited on the gold pads;
  - protective paste drying;
  - solder paste deposition on SMT pads;
  - SMT component placement;
  - solder paste reflow;
- 15 chip adhesive dispensing on the chip site;
  - chip adhesive curing (i.e polymerisation)

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- package water washing and removing of the protective.
  paste;
- U.V. cleaning;
- 20 wire bonding.

The protective paste according to a preferred embodiment of the present invention contains a salt (e.g. Sodium or Potassium citrate), glycerine and a densifier (e.g. Gum Acacia, gum tracanth, gum karaya, guar gum). Even if different densifiers could be used, according to a preferred embodiment of the present invention a densifier belonging to the Hydrocolloids group has been preferred; the densifier quantity depends on its properties.

All components are from natural sources and environmentally 30 friendly even after washing and removal from the electronic module laminates they do not pose environmental threat.

Hydrocolloids are specific natural compounds, they are soluble in cold water , they have emulsifier properties , film forming properties and adhesive properties.

Gum Arabic or gum Acacia is a polysaccaride and its composition is of major monosaccharides: D-galactose, D-glucuronic acid; anionic exudate polysaccharide of arabic acid, a highly branched polymer of Beta-D-glactose,

- 5 L-arabinose, D-gluconic acid, L-rhamnose, 4-O-methyl-D-gk, A beta-D-gal, L-ara, D-glcA, L-rha, 4-O-methyl-D-gka, (D-glcA = D-glucuronic acid, D-galA = D-galacturonic acid, L-gulA = L-guluronic acid).
- Gum tragacanth, which composition has the following major 10 monosaccharides: D-galacturonic acid and D-galactose, L-fucose, D-xylose, L-arabinose; anionic exudate of tragacanthin and bassorin which are polymers: D-galacturonic acid, D-xylose, L-arabinose, D-fructose, D-arabinose, L-rhamonose hawthorne structure(D-glcA=D-gluronic acid;
- 15 D-galA=D-galacturonic acid, L-gulA=L-guluronic acid)

  Gum Karaya, which composition is D-galacturonic acid,

  D-galactose, L-rhamnose, D-glucuronic acid hawthorne structure.
- Guar Gum, which composition has major monosaccharides:

  20 D-mannose, D-galactose; non-ionic seed polysaccharide: made up of straight chain mannan grouping with relatively regular branching on every second mannose by a single galactose unit:

  beta-D-man, alpha-d-gal (D-glcA=D-gluronic acid; D-galA=D-galacturonic acid, L-gulA= L-guluronic acid).
- 25 In a preferred embodiment the quantity of the salt is between 8% to 30% of the glycerol in weight, while the densifier is in the range between 7% to 25% (e.g. 20%) in weight of the citrate salt. These three components are all water soluble and they are dissolved in water and mixed together. According to a 30 preferred embodiment of the present invention, the components are mixed in a vacuum chamber until the water evaporates.

According to a preferred embodiment of the present invention the paste has been prepared in the following way: 2,5g of a salt (e.g. Sodium citrate), 0.5g of a Hydrocolloid 35 (e.g. gum acacia ) and 10g of glycerol are dissolved in DI

water (a quantity enough to dissolved the three components above). Then the solution is mixed in a vacuum chamber at 50°C minimum until the water weight is lost. Vacuum mixing increases the water evaporation rate at lower temperature and 5 avoid the trapping of air bubbles within the gel formation during the mixing operation.

According to a preferred embodiment of the present invention, the protective paste is then deposited on the metal (e.g. Gold) surface to be protected, then dried until all the 10 glycerol completely evaporates thus obtaing a solid film which can bear thermal cycles such as reflow temperatures tin-lead soldering operations, mechanical abrasion and attrition and product handling. Αt the end of the manufacturing process the film is removed by washing away at 15 60°C. During the washing, a desirable side effect is given by the adhesion properties of the densifier, which cause the removal of unwanted particles (e.g. dust or fibers) from the metal surface.

The high viscosity of the paste, obtained with the above 20 described process, allows the protective layer to be deposited by means of an offset printing process. As shown in Fig. 3a, this step is performed by means of a printing head 301 having a rubber head pad surface 303, which is designed according to the shape (307) of the circuit or the surface to be protected 25 during the phases of the card manufacturing. The gel paste is placed into a reservoir 305 that keeps the gel at a uniform thickness (e.g. 0.1 mm). As shown in Fig. 3b, the rubber print head 301 is immersed into the gel which, due to the adhesion properties, attaches on the shape 307 of the rubber head pad 30 surface, then the head is positioned over the surface 309 to be protected and it is placed in contact with it (Fig. 3c). Again, due to the viscosity of the gel the top surface of the laminate gets covered by the gel, the rubber head is retracted (Fig. 3d) and the material cohesively separate leaving on the

board a uniform protective layer on the surface. By the material (gel) properties of film forming and viscosity the material do not show flow but relaxes to a uniform layer covering the area of interest.

5 At this point the deposited material undergoes a drying operation at temperature above 150° with consequent loss of volume due to the loss of the volatile glycerine in the deposit leaving a uniform coating layer on the surface of the carrier. In a preferred embodiment an in-line oven with 10 Nitrogen atmosphere and less than 200 ppm of Oxygen is used. According to a preferred embodiment, the drying cycle ramps up from room temperature to about 150°C with increment of about 2°C/second, mantaining a temperature plateau of 150°C for about 8 minutes. The protective film does not require any 15 specific oven ramp-down temperature profile, so that this can be adapted to the requirements of the base materials electronic circuits. The material when fully dried is in the form of a smooth, thin layer. The above oven settings are believed to be the optminal values, but different settings 20 (e.g. standard reflow profiles for soldering pastes that reaches temperatures of more than 220°C) could be used instead with satisfactory results and without affecting protective materials properties.

After the manufacturing process is completed, as detailed 25 above, the protective material must be removed. This can be easily done with a washing operation using demineralized water at a temperature of 60°C. According to a preferred embodiment the washing operation could be performed in an in-line washer with multiple tanks, which is a standard equipment in 30 manufacturing operations where soldering steps are planned. As demonstrated by ESCA analysis, no residues are left on the board by the protective film described above after removal. Also wire bonding trials reported very good values for wire bond pull tests.

## CLAIMS

- A water soluble protective paste for protecting metal circuits during the manufacture of electronic modules comprising a salt, a glycerol and a densifier dissolved in 5 water.
  - 2. The water soluble protective paste of claim 1 wherein the salt is 5% to 110% of the glycerol in weight and the densifier is 5% to 90% of the salt in weight.
- 3. The water soluble protective paste of claim 2 wherein the 10 salt is 8% to 30% of the glycerol in weight and the densifier is 7% to 25% of the salt in weight.
  - 4. The water soluble protective paste of any preceding claim wherein the salt is Sodium citrate.
- 5. The water soluble protective paste of claim 1, 2 or 3 15 wherein the salt is Potassium citrate.
- 6. The water soluble protective paste of any preceding claim wherein the salt is about 25% of the glycerol in weight.
  - 7. The water soluble protective paste of claim 6 wherein the densifier is about 20% of the salt in weight.
- 20 8. The water soluble protective paste of any preceding claim wherein the densifier is a Hydrocolloid.
  - 9. The water soluble protective paste of claim 8 wherein the Hydrocolloid is Gum Acacia.
- 10. A method of selectively dispensing the water soluble 25 protective paste of any preceding claim by means of offset printing.

- 11. A a method of protecting metal circuits and pads on the surface of an electronic board during manufacturing steps, comprising:
- selectively dispensing over the metal circuits and pads 5 the water soluble protective paste of any claim 1 to 9, by means of offset printing;
  - drying the dispensed layer obtaining a solid protective film.
- 12. A method for manufacturing a multi chip module having on 10 the same substrate at least one wire bonded chip and at least one Surface Mount Technology (SMT) chip, the method comprising the steps of:
- protecting, with the method of claim 11, the metal circuits and pads to which the wire bonded chip will be 15 connected;
  - mounting the at least one SMT chip;

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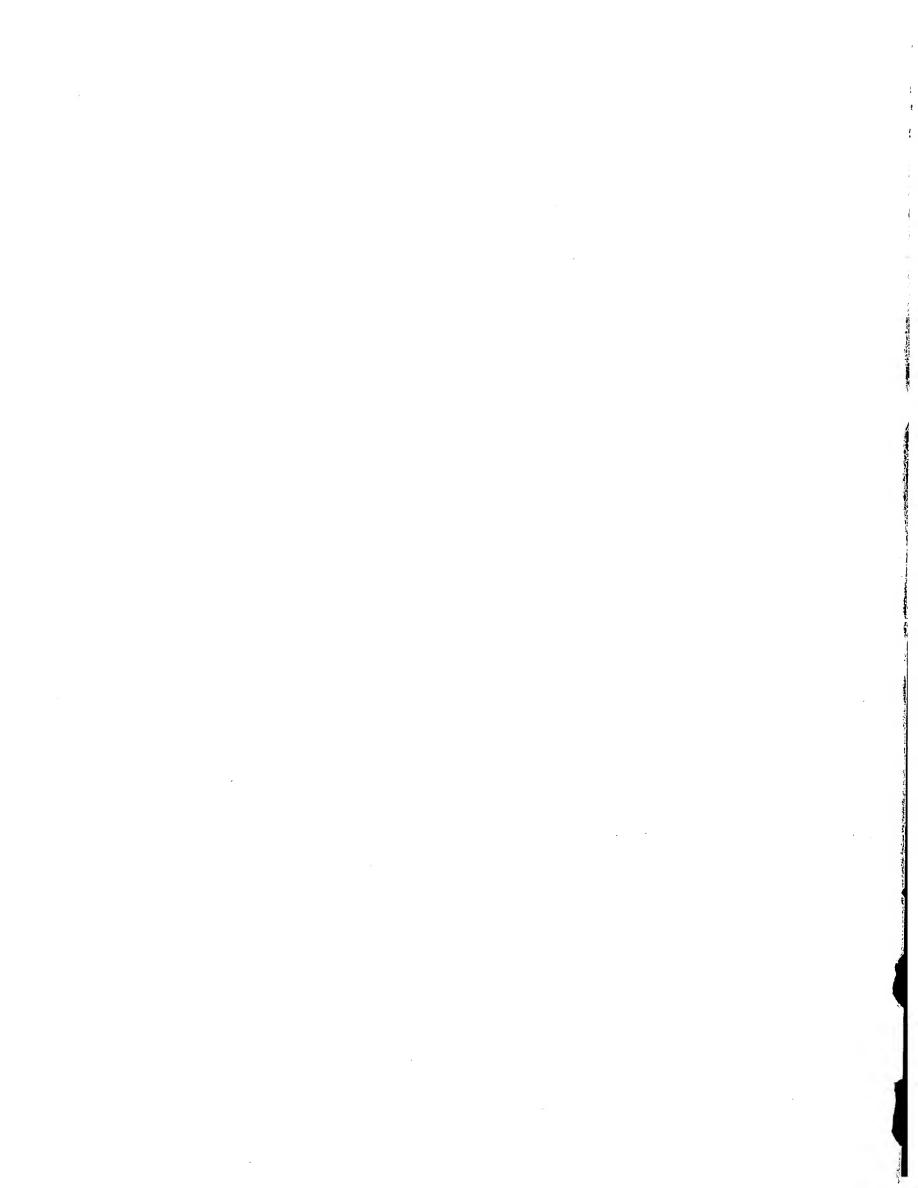
- removing the protective layer from the metal circuits and pads;
  - attaching and bonding the at least one Wire Bond chip.

#### **ABSTRACT**

# WATER SOLUBLE PROTECTIVE PASTE FOR MANUFACTURING PRINTED CIRCUIT BOARDS

An improved water soluble protective paste and a method 5 for protecting metal circuits and pads on the surface of an electronic board during the manufacturing steps. A densifier is added to the paste making it easier and more efficient the dispensing of the paste. After deposition the layer is dried until a solid protective film is obtained. An additional 10 advantage obtained by the present invention is that protective layer can be deposited also by means of an offset printing process, avoiding the use of the stencil and of the screening steps. Screening process is a labourious operation which requires very sophisticated equipment and a very high 15 precision in the design of the stencil. Because of these requirements, screening is an expensive process. On the other hand offset printing is a very simple, cheap and reliable method. In addition, the film forming properties allow the material to create a protective film even with a thin 20 deposited film.

Fig:3a



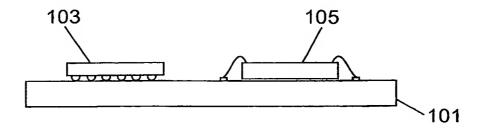


FIG. 1

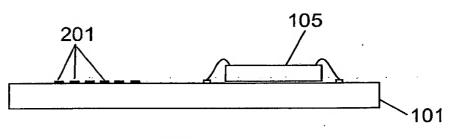
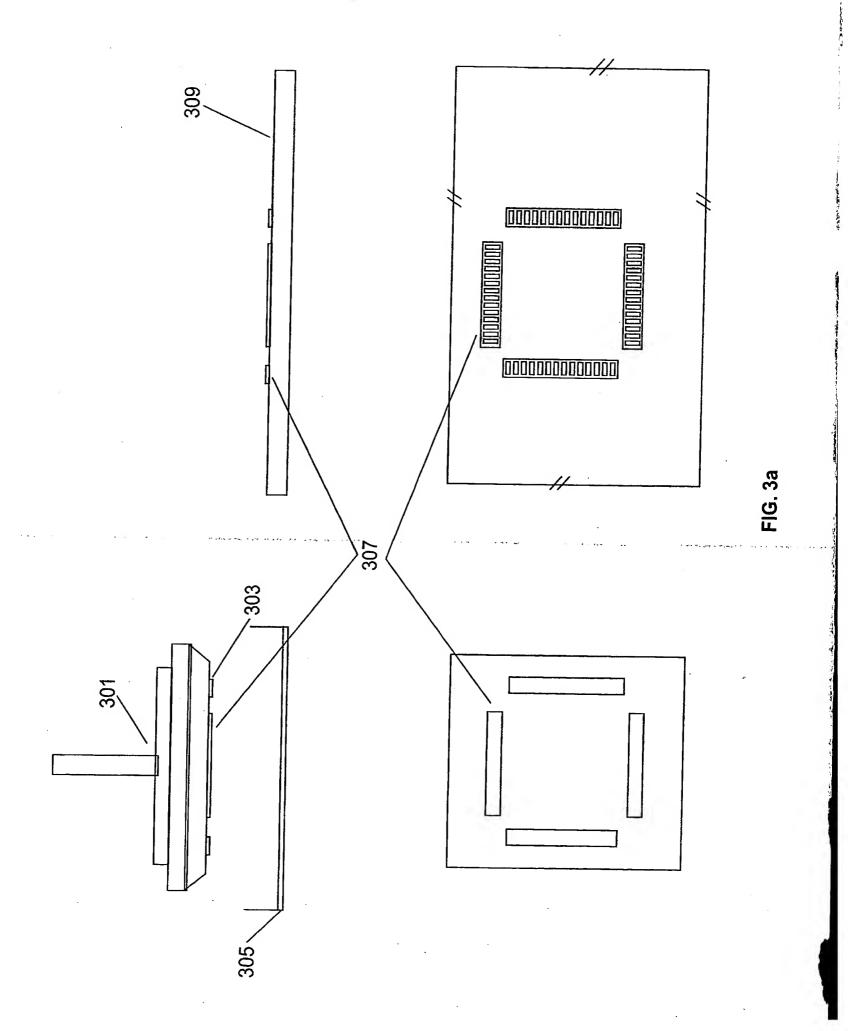
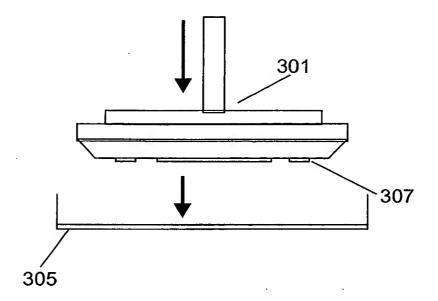
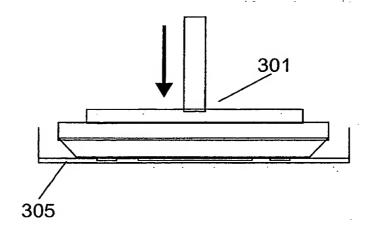


FIG. 2







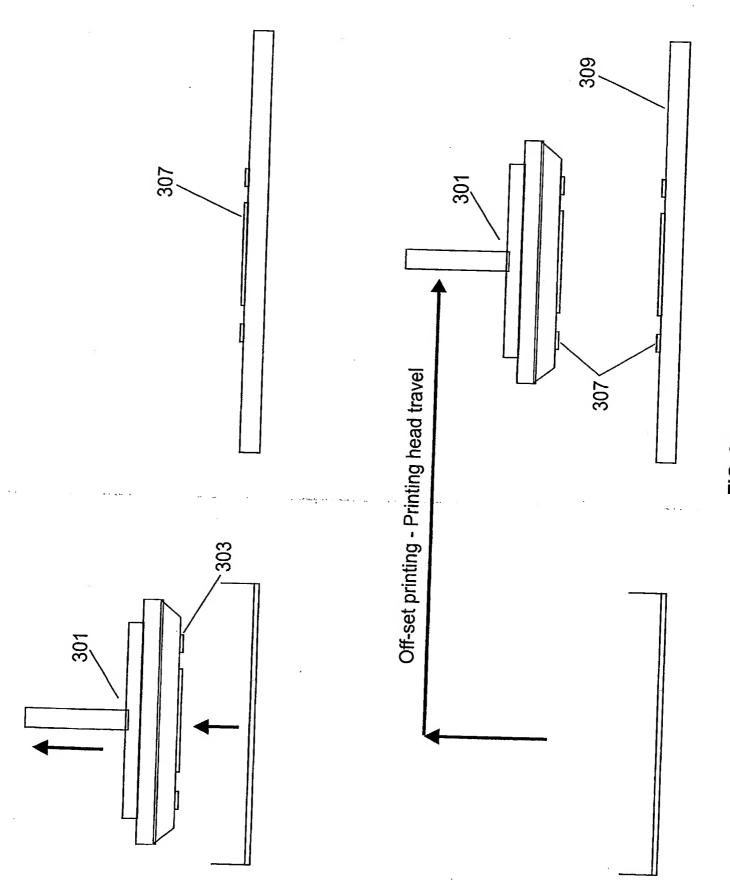
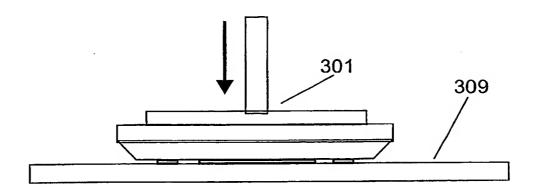
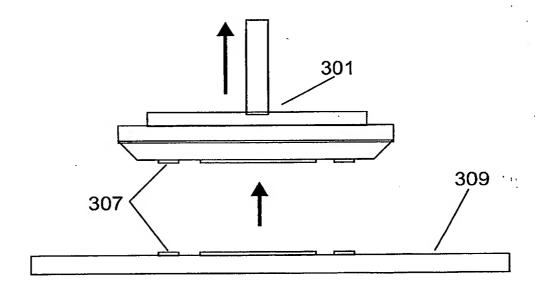


FIG. 3c





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